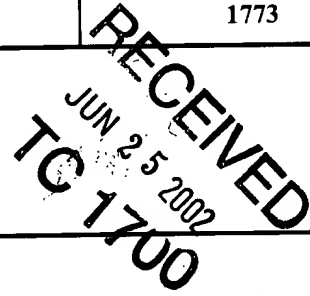


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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | Docket No. 08CN8803-16 | |
|---|----------------------------|------------------------|---------------------------|--|
| In Re Application Of: FEIST, ET AL. | | | | |
| Serial No. 09/846,889 | Filing Date MAY 1, 2001 | Examiner K. BERNATZ | Group Art Unit 1773 | |
| Title: A METHOD FOR RETRIEVING DATA FROM A STORAGE MEDIA | | | | |
| <p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> | | | | |



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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
08678803 16

In Re Application: FEIST, ET AL.

Serial No.

09/846,889

Filing Date

MAY 1, 2001

Examiner

K. BERNATZ

Group Art Unit

1773

A METHOD FOR RETRIEVING DATA FROM A STORAGE MEDIA

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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*This certificate may only be used if paying by deposit account.

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Dated: June 17, 2002

Pamela J. Curbelo

Registration No. 34,676

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(860) 286-2929

CC:

INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.
08CN8803-

SERIAL NO.
09/846,889

FEIST, ET AL.

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GROUP

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| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|----------|-----------------------------|-------|----------|-------------------------------|
| <i>HB</i> | 1 | 5,902,172 | 05/11/99 | Utashiro (Abstract) | | | |
| <i>q</i> | 2 | 5,900,126 | 05/04/99 | Nelson, et al. (Abstract) | | | |
| <i>q</i> | 3 | 5,897,578 | 03/09/99 | Chung, et al. (Abstract) | | | |
| <i>q</i> | 4 | 5,874,376 | 02/23/99 | Taguchi, et al. (Abstract) | | | |
| <i>q</i> | 5 | 5,871,654 | 02/16/99 | Mannami, et al. (Abstract) | | | |
| <i>q</i> | 6 | 5,868,953 | 02/09/99 | Maekawa, et al. (Abstract) | | | |
| <i>q</i> | 7 | 5,866,489 | 02/02/99 | Yamaguchi (Abstract) | | | |
| <i>q</i> | 8 | 5,855,951 | 01/05/99 | Nelson, et al. (Abstract) | | | |
| <i>q</i> | 9 | 5,846,280 | 12/08/98 | Speit (Abstract) | | | |
| <i>q</i> | 10 | 5,831,309 | 11/03/98 | Englisch, et al. (Abstract) | | | |
| <i>q</i> | 11 | 5,811,182 | 09/22/98 | Weir, et al. (Abstract) | | | |

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| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|-----------|----|-----------------|------------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| <i>HB</i> | 12 | JP 09237437 | 09/09/1997 | Japan | | | ✓ | |
| <i>q</i> | 13 | JP 63205817 | 25/08/1988 | Japan | | | | ✓ |
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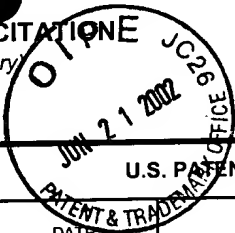
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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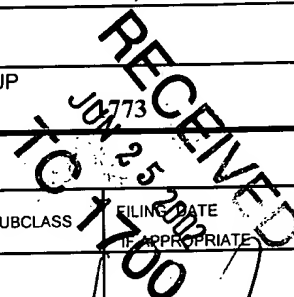
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FEIST, ET AL.

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| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|----------|-----------------------------|-------|----------|-------------------------------|
| HK | 14 | 5,800,895 | 09/01/98 | Vygovsky, et al. (Abstract) | | | |
| CC | 15 | 5,726,108 | 03/10/98 | Taguchi, et al. (Abstract) | | | |
| 6 | 16 | 5,707,705 | 01/13/98 | Nelson, et al. (Abstract) | | | |
| 4 | 17 | 5,691,256 | 11/25/97 | Taguchi, et al. (Abstract) | | | |
| 4 | 18 | 5,681,635 | 10/28/97 | Nelson, et al. (Abstract) | | | |
| 9 | 19 | 5,663,016 | 09/02/97 | Hong (Abstract) | | | |
| 7 | 20 | 5,635,269 | 06/03/97 | Weir, et al. (Abstract) | | | |
| 4 | 21 | 5,629,417 | 05/13/97 | Campbell, et al. (Abstract) | | | |
| 7 | 22 | 5,626,935 | 05/06/97 | Goto, et al. (Abstract) | | | |
| 4 | 23 | 5,626,920 | 05/06/97 | Weir, et al. (Abstract) | | | |
| 4 | 24 | 5,622,816 | 04/22/97 | Maenza, et al. (Abstract) | | | |

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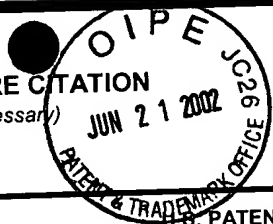
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| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|----------|-------------------------------|-------|----------|-------------------------------|
| 9/25 | 25 | 5,567,217 | 10/22/96 | Goto, et al. (Abstract) | | | |
| 4 | 26 | 5,561,089 | 10/01/96 | Ishizaki, et al. (Abstract) | | | |
| 4 | 27 | 5,536,549 | 07/16/96 | Nelson, et al. (Abstract) | | | |
| 4 | 28 | 5,516,899 | 05/14/96 | Campbell, et al. (Abstract) | | | |
| 4 | 29 | 5,510,164 | 04/23/96 | Best, et al. (Abstract) | | | |
| 4 | 30 | 5,469,312 | 11/21/95 | Watanabe, et al. (Abstract) | | | |
| 4 | 31 | 5,468,324 | 11/21/95 | Hong (Abstract) | | | |
| 4 | 32 | 5,453,961 | 09/26/95 | Brazas (Abstract) | | | |
| 4 | 33 | 5,441,788 | 08/15/95 | Bloomquist, et al. (Abstract) | | | |
| 4 | 34 | 5,437,887 | 08/01/95 | Yarkosky, et al. (Abstract) | | | |
| 4 | 35 | 5,400,317 | 03/21/95 | Strasser, et al. (Abstract) | | | |

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| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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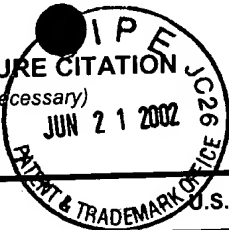
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| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|----------|-----------------------------|-------|----------|-------------------------------|
| 9/4/95 | 36 | 5,391,522 | 02/21/95 | Goto, et al. (Abstract) | | | |
| 9 | 37 | 5,366,542 | 11/22/94 | Yamada, et al. (Abstract) | | | |
| 9 | 38 | 5,234,717 | 08/10/93 | Matsuno, et al. (Abstract) | | | |
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| 9 | 40 | 5,209,837 | 05/11/93 | Tsuya, et al. (Abstract) | | | |
| 9 | 41 | 5,166,014 | 11/24/92 | Ledieu (Abstract) | | | |
| 9 | 42 | 5,137,617 | 08/11/92 | Helfet, et al. (Abstract) | | | |
| 9 | 43 | 5,131,977 | 07/21/92 | Morizane, et al. (Abstract) | | | |
| 9 | 44 | 5,128,922 | 07/07/92 | Inui, et al. (Abstract) | | | |
| 9 | 45 | 5,108,781 | 04/28/92 | Ranjan, et al. (Abstract) | | | |
| 9 | 46 | 5,091,225 | 02/25/92 | Goto (Abstract) | | | |

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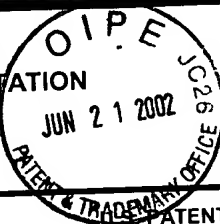
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|----------------------|----|-----------------|----------|------------------------------|-------|----------|-------------------------------|
| 1/11 | 47 | 5,087,481 | 02/11/92 | Chen, et al. (Abstract) | | | |
| 4 | 48 | 5,084,355 | 01/28/92 | Takahashi, et al. (Abstract) | | | |
| 4 | 49 | 5,082,728 | 01/21/92 | Miyake, et al. (Abstract) | | | |
| 7 | 50 | 5,074,971 | 12/24/91 | Tsuya, et al. (Abstract) | | | |
| 7 | 51 | 5,047,274 | 09/10/91 | Tsuya, et al. (Abstract) | | | |
| 7 | 52 | 4,996,622 | 02/26/91 | Takatsuki, et al. (Abstract) | | | |
| 7 | 53 | 4,985,306 | 01/15/91 | Morizane, et al. (Abstract) | | | |
| 7 | 54 | 4,971,932 | 11/20/90 | Alpha, et al. (Abstract) | | | |
| 7 | 55 | 4,956,015 | 09/11/90 | Okajima, et al. (Abstract) | | | |
| 7 | 56 | 4,953,385 | 09/04/90 | Aoki, et al. (Abstract) | | | |
| 7 | 57 | 4,931,147 | 06/05/90 | Legierse, et al. (Abstract) | | | |

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| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|----|-----------------|----------|------------------------------|-------|----------|----------------------------|
| 10/16 | 58 | 4,925,738 | 05/15/90 | Tsuya, et al. (Abstract) | | | |
| 4 | 59 | 4,920,441 | 04/24/90 | Kimura, et al. (Abstract) | | | |
| 4 | 60 | 4,876,117 | 10/24/89 | Bornstein (Abstract) | | | |
| 4 | 61 | 4,839,244 | 06/13/89 | Tsukamoto (Abstract) | | | |
| 4 | 62 | 4,835,909 | 06/06/89 | Richter, et al. (Abstract) | | | |
| 4 | 63 | 4,825,680 | 05/02/89 | Coe, et al. (Abstract) | | | |
| 4 | 64 | 4,808,455 | 02/28/89 | Wada, et al. (Abstract) | | | |
| 4 | 65 | 4,775,608 | 10/04/88 | Baum, et al. (Abstract) | | | |
| 4 | 66 | 4,737,877 | 04/12/88 | Krongelb, et al. (Abstract) | | | |
| 4 | 67 | 4,719,171 | 01/12/88 | Ikenaga, et al. (Abstract) | | | |
| 4 | 68 | 4,709,361 | 11/24/87 | Dahlstrom, et al. (Abstract) | | | |

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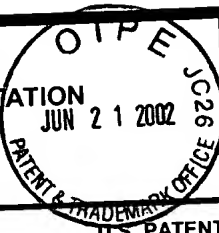
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| ATTY DOCKET NO. 08CN8803- | SERIAL NO. 09/846,889 |
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| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| W | 69 | 4,708,919 | 11/24/87 | Shimkunas, et al. (Abstract) | | | |
| cl | 70 | 4,705,566 | 11/10/87 | Senda, et al. (Abstract) | | | |
| 7 | 71 | 4,698,716 | 10/06/87 | Lazzari (Abstract) | | | |
| 9 | 72 | 4,690,846 | 09/01/87 | Wada, et al. (Abstract) | | | |
| 7 | 73 | 4,678,547 | 07/07/87 | Miyamoto, et al. (Abstract) | | | |
| 9 | 74 | 4,659,605 | 04/21/87 | Malik, et al. (Abstract) | | | |
| 4 | 75 | 4,619,804 | 10/28/86 | Leonard, et al. (Abstract) | | | |
| 7 | 76 | 4,590,493 | 05/20/86 | Inoue, et al. (Abstract) | | | |
| 7 | 77 | 4,554,655 | 11/19/85 | Kumasaka, et al. (Abstract) | | | |
| 4 | 78 | 4,552,820 | 11/12/85 | Lin, et al. (Abstract) | | | |
| 7 | 79 | 4,430,387 | 02/07/84 | Nakagawa, et al. (Abstract) | | | |

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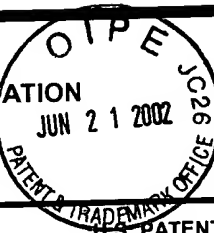
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ATTY DOCKET NO.
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FEIST, ET AL.

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|----------------------|----|-----------------|----------|-----------------------------|-------|----------|------------------------------|
| 16/4 | 80 | 4,419,704 | 12/06/83 | Radman, et al. (Abstract) | | | |
| 4 | 81 | 4,404,238 | 09/13/83 | Baldwin (Abstract) | | | |
| 7 | 82 | 4,402,798 | 09/06/83 | Gorog, et al. (Abstract) | | | |
| 4 | 83 | 4,329,697 | 05/11/82 | Bell (Abstract) | | | |
| 4 | 84 | 4,305,081 | 12/08/81 | Spong (Abstract) | | | |
| 4 | 85 | 4,222,070 | 09/09/80 | Howe, et al. (Abstract) | | | |
| 4 | 86 | 4,206,256 | 06/03/80 | Matthies, et al. (Abstract) | | | |
| 4 | 87 | 4,057,831 | 11/08/77 | Jacobs, et al. (Abstract) | | | |
| 4 | 88 | 5,538,774 | 07/23/96 | Landin, et al. | | | |
| 4 | 89 | 5,585,159 | 12/17/96 | Miyake, et al. | | | |
| 4 | 90 | 5,585,989 | 12/17/96 | Kuromiya, et al. | | | |

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| | | | | | | | YES | NO |
| 16/4 | 91 | CA 2259483 | 08/01/1998 | Canada (Abstract) | | | ✓ | |
| 7 | 92 | CA 1323697 | 26/10/1993 | Canada (Abstract) | | | ✓ | |
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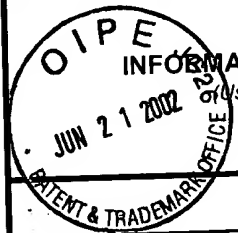
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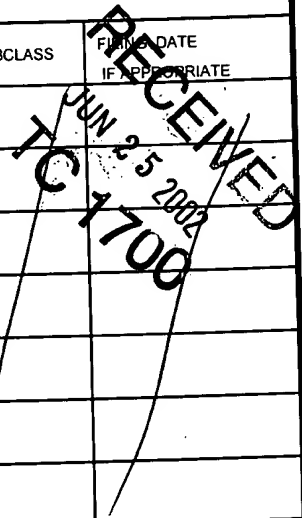


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| FEIST, ET AL. | | |
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U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|----------|------------------|-------|----------|-------------------------------|
| <i>MP</i> | 93 | 5,875,083 | 02/23/99 | Oniki, et al. | | | |
| <i>q</i> | 94 | 5,900,318 | 05/04/99 | Yanagisawa | | | |
| <i>a</i> | 95 | 5,948,495 | 09/07/99 | Stanish, et al. | | | |
| <i>y</i> | 96 | 5,981,015 | 11/09/99 | Zou, et al. | | | |
| <i>y</i> | 97 | 6,030,681 | 02/29/00 | Czubarow, et al. | | | |
| <i>y</i> | 98 | 6,236,542 B1 | 05/22/01 | Hartog, et al. | | | |
| <i>y</i> | 99 | 6,347,016 B1 | 02/12/02 | Ishida, et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER <i>[Signature]</i> | DATE CONSIDERED 2/18/02 |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.